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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/016,117	10/30/2001	David D. Faraldo II	05220.P002X	7950

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EXAMINER

TAYLOR, NICHOLAS R

ART UNIT	PAPER NUMBER
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2141

DATE MAILED: 04/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/016,117	Applicant(s) FARALDO, DAVID D.	
	Examiner Nicholas R. Taylor	Art Unit 2141	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 January 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,4,7-10,12,15-18,20,23-26 and 28-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4,7-10,12,15-18,20,23-26 and 28-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 October 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>1/17/06</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. Applicant's arguments, filed January 17<sup>th</sup>, 2006, with respect to the rejections of claim(s) 1, 2, 4, 7-10, 12, 15-18, 20, 23-26 and 28-40 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn.

However, upon further consideration, new ground(s) of rejection are made below. Since this action applies new rejections on substantively unamended claims, this action is NON FINAL.

2. Claims 1, 2, 4, 7-10, 12, 15-18, 20, 23-26 and 28-40 have been presented for examination and are rejected.

3. The PCT application 0 022 534 listed under Foreign Patent Documents on the 10/30/2001 PTO-1449 form has been considered by the Examiner.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

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5. Claims 1, 2, 4, 7-10, 12, 15-18, 20, 23-26, 28, 29, 32-35, 39, and 40 are rejected under 35 U.S.C. 102(e) as being anticipated by Garg et al. (US PGPub 2001/0052087).

6. As per claims 1, 9, 17, and 25, Garg teaches a method, comprising:

enabling a standard notification rule to generate a first notification upon an occurrence of a predetermined event to a first person in a hierarchy; and (Garg, paragraphs 0067, generating the notification in described in paragraphs 0076-0079)

enabling an advanced notification rule to preempt the standard notification rule upon the occurrence (Garg, paragraph 0068).

7. As per claims 2, 10, 18, and 26, Garg teaches the system further comprising: generating a second notification to a second person in the hierarchy based on the advanced notification rule (Garg, paragraph 0067-68, and figure 10).

8. As per claims 4, 12, 20, and 28, Garg teaches the system further comprising: suspending the generating of the first notification based on the advanced notification rule (Garg, paragraph 0068 where the initial alarm is ignored).

9. As per claims 7, 15, and 23, Garg teaches the system further wherein the advanced notification rule includes a scope and wherein the scope of the advanced notification rule is configured by at least one of the group consisting of a company, a satellite, a host assigned to a company, a service configured on a host for a company, a

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check type, a host state, a service state, a contact group, and a message pattern (Garg, paragraphs 0067-0068 specifically the sample rules).

10. As per claims 8, 16, and 24, Garg teaches the system further where the advanced notification rule is configured to preempt the standard notification rule for a temporary amount of time (Garg, paragraphs 0067-0068 specifically the sample rules, wherein the advanced rule only preempts when at the secondary threshold level).

11. As per claim 29, Garg teaches the system further wherein the communications device transmit the first notification to the first person in the hierarchy and the processor acknowledges the first notification (Garg, paragraph 0082 and figure 10).

12. As per claim 32, Garg teaches the system further wherein the advanced notification rule comprises a supplemental notification (Garg, paragraph 0082 and figure 10).

13. As per claim 33, Garg teaches the system further where the supplemental notification directs the first notification to a second person in addition to the first notification to the first person (Garg, paragraph 0082 and figure 10, when multiple personnel are notified).

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14. As per claim 34, Garg teaches the system further wherein the advanced notification rule comprises a suspend standard notification (Garg, paragraph 0063, specifically the exception period).

15. As per claim 35, Garg teaches the system further wherein the suspend notification, upon satisfaction of the standard notification rule, suspends the standard notification rule (Garg, paragraph 0063, specifically the exception period).

16. As per claims 39 and 40, Garg teaches the system further wherein the advanced notification rule comprises one of a redirect standard notification, a supplemental notification, a suspend standard notification, or an automatic acknowledgement (Garg, paragraph 0082 and figure 10).

### ***Claim Rejections - 35 USC § 103***

17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

18. Claims 30, 31, and 36-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Garg et al. (US PGPub 2001/0052087) and Graf (US Patent 5,619,656.)

19. As per claim 30, Garg teaches the above, yet fails to teach the system further wherein the advanced notification rule comprises a redirect standard notification.

Graf teaches an event notification system (Graf, column 5, lines 38-41) that redirects an additional notification to a specific person (Graf, column 21, lines 37-44), suspends a standard notification (Graf, column 20, lines 1-5), and automatically acknowledges notifications (Graf, column 20, lines 50-67). It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have combined Garg and Graf to provide the notification system of Graf in the system of Garg, because doing so would enable a tool that automatically detects and informs administrators of problems in networking systems in a manner that is more efficient than statically determining notification destinations (Graf, column 3, lines 8-24).

20. As per claim 31, Garg-Graf teaches the system further wherein the redirect standard notification redirects the first notification to a second person (Graf, column 21, lines 37-44).

21. As per claim 36, Garg teaches the above yet fails to teach the system further wherein the advanced notification rule comprises an automatic acknowledgement.

Graf teaches an event notification system (Graf, column 5, lines 38-41) that redirects an additional notification to a specific person (Graf, column 21, lines 37-44), suspends a standard notification (Graf, column 20, lines 1-5), and automatically acknowledges notifications (Graf, column 20, lines 50-67). It would have been obvious

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to one of ordinary skill in the art, at the time the invention was made, to have combined Garg and Graf to provide the notification system of Graf in the system of Garg, because doing so would enable a tool that automatically detects and informs administrators of problems in networking systems in a manner that is more efficient than statically determining notification destinations (Graf, column 3, lines 8-24).

22. As per claim 37, Garg-Graf teaches the system further wherein the automatic acknowledgement automatically acknowledges receipt of the standard notification by the first person (Graf, column 20, lines 50-67).

23. As per claim 38, Garg-Graf teaches the system further comprising preventing escalation of the first notification using the automatic acknowledgment (Graf, column 20, lines 50-67).

### ***Conclusion***

24. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicholas Taylor whose telephone number is (571) 272-3889. The examiner can normally be reached on Monday-Friday, 8:00am to 5:30pm, with alternating Fridays off.

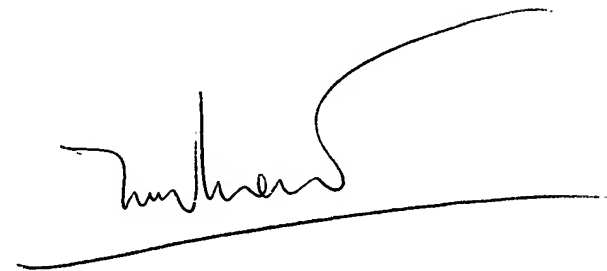
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on (571) 272-3880. The fax phone number for the organization where this application or proceeding is assigned is (703) 305-3718.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nicholas Taylor  
Examiner  
Art Unit 2141

A handwritten signature in black ink, appearing to read 'Nicholas Taylor', is written over a horizontal line.

LEWIS  
PRIMARY EXAMINER